



STATE OF MISSOURI
DEPARTMENT OF INSURANCE

P.O. BOX 690
JEFFERSON CITY, MO 65102-0690
(573) 751-4126

APPLICATION FOR APPROVAL TO WRITE EXCESS AND SURPLUS LINES INSURANCE

This application shall be executed by the company by its President or a Vice President or executive officer corresponding thereto and verified by the officer and, if a corporation, the corporate seal shall be thereto affixed, attested by its Secretary or other proper officer.

1. NAME OF COMPANY

STATE OR COUNTRY IN WHICH ORGANIZED

2. DATE OF INCORPORATION PERIOD OF DURATION

3. ADDRESS, INCLUDING STREET AND NUMBER, OF PRINCIPAL OFFICE IN STATE IN WHICH ORGANIZED

4. NAMES OF STATES AND COUNTRIES IN WHICH ADMITTED OR QUALIFIED TO TRANSACT BUSINESS

5. KINDS OF INSURANCE AUTHORIZED TO WRITE IN STATE OF ORGANIZATION

6. KINDS OF INSURANCE PROPOSED TO WRITE IN STATE OF MISSOURI

7. PER ANNUAL STATEMENT (DATE)	NO. SHARES OUTSTANDING	PAR VALUE	ASSETS
		\$	\$
CAPITAL	LIABILITIES	SURPLUS	NET GAIN OR LOSS FROM OPERATIONS
\$	\$	\$	\$

8. MARK AND ATTACH HERETO THE FOLLOWING:

EXHIBIT "A" A letter from the regulatory body having authority over the company's operations stating that, according to his records, the company is prompt and equitable in its loss payments to policyholders and payments are in accordance with policy provisions;

EXHIBIT "B" A biographical data affidavit of the directors and officers of the company listed in the annual statement (must contain original signatures) (form enclosed);

EXHIBIT "C" Copy of the most recent annual statement of the company, certified by the Insurance Department, on standard form (9 x 14) prescribed by National Association of Insurance Commissioners. If approval is granted, an annual statement must be furnished 6 months after the close of the period reported upon. Alien companies must submit statement in United States dollar. The NAIC Annual Statement should include an actual certification and management discussion and analysis.

EXHIBIT "D" Certified copy of Certificate of Authority issued by the domiciliary regulatory body.

EXHIBIT "E" Appointment of Missouri Director of Insurance as attorney to accept service of legal process in Missouri (form enclosed).

EXHIBIT "F" Audited Financial Report (most currently dated).

Companies will not be placed on the approval list unless all of the above information is submitted and approved. approval may be withdrawn by the Director at any time.

Using its domiciled states' laws, currently it must have \$2.4 million of capital and surplus.

All brokers authorized to place business under this statute must comply with the detailed regulations and procedures established by the Department for processing this business.

Section 384.031 requires filings be submitted by the Surplus Lines Broker within 30 days of the effective date of coverage. It is of utmost importance that the surplus line carrier get the information to the Surplus Lines Broker so that he can be in compliance with Chapter 384.

The Department of Insurance will expect all brokers obtaining such surplus lines insurance to do the following:

- (1) Become licensed by the Department for this purpose;
- (2) File all forms required by laws;
- (3) Pay the tax required by Section 384.059 RSMo. 1989, which tax is equal to five percent of the premium paid for said insurance;
- (4) Follow strictly all other pertinent statutes and orders of the Department.

Any violation of the above rules shall be grounds for the revocation of all licenses held by the broker.

IN WITNESS WHEREOF, the undersigned corporation has cause this application to be executed in its name by its President of Vice President attested by its Secretary of Assistant Secretary, this _____ day of _____, year _____.

	EXACT CORPORATION NAME	
	BY: PRESIDENT OR VICE PRESIDENT	
	SECRETARY OR ASSISTANT SECRETARY	
NOTARY PUBLIC EMBOSSEY OR BLACK INK RUBBER STAMP SEAL	STATE	
	COUNTY (OR CITY OF ST. LOUIS)	
	SUBSCRIBED AND SWORN BEFORE ME, THIS DAY OF YEAR	
	USE RUBBER STAMP IN CLEAR AREA BELOW.	
	NOTARY PUBLIC SIGNATURE	MY COMMISSION EXPIRES
	NOTARY PUBLIC NAME (TYPED OR PRINTED)	